

## Claims

What is claimed is:

1. A method of conditioning a planarizing surface in a chemical mechanical polishing (CMP) apparatus having a polishing pad against which a wafer is positioned for removal of material therefrom and a conditioning disk is positioned for conditioning of the polishing pad, comprising the steps of:

- a) providing a pad wear and conditioning model that defines wafer material removal rate as a function of at least one pad conditioning parameter including rotation speed and/or direction of a conditioning disk;
- 10      b) polishing a wafer in the CMP apparatus under a first set of pad conditioning parameters selected to maintain wafer material removal rates within preselected minimum and maximum removal rates;
- c) determining a wafer material removal rate occurring during said polishing step;
- d) calculating updated pad conditioning parameters based upon said determined  
15      wafer material removal rate of said step (c) and the pad wear and conditioning model of said step (a) and
- e) conditioning the polishing pad using the updated conditioning parameters.

2. The method of claim 1, wherein step (d) includes calculating updated pad conditioning parameters to maintain wafer material removal rate within the maximum and minimum removal rates.

3. The method of claim 1, wherein the first set of pad conditioning parameters are determined empirically.

4. The method of claim 1, wherein the first set of pad conditioning parameters are determined using historical data.

5 5. The method of claim 1, wherein the first set of pad conditioning parameters are determined using the results of the design of experiment (DOE).

10 6. The method of claim 1, wherein the pad conditioning parameters of the pad wear and pad recovery model further comprises a conditioning parameter selected from the group consisting of frequency of conditioning, duration of conditioning, and translational speed of conditioning disk during conditioning.

15 7. The method of claim 1, wherein step (d) of calculating updated conditioning parameters includes calculating parameters such that the parameter is within the determined minimum and maximum values.

8. The method of claim 1, wherein the updated pad conditioning parameters are calculated by determining the difference between an output of the pad wear and conditioning model and said determined wafer material removal of step (c).

20 9. The method of claim 8, wherein said difference is adjusted using an estimate gain prior to calculating updated conditioning parameters.

25 10. The method of claim 9, wherein the gain is selected to represent variability or reliability in the measured parameter.

11. The method of claim 1, wherein the updated pad conditioning parameters are updated according to the equation  $k = (k_1) + g * (k - (k_1))$ , where  $k$  is a measured wafer material removal rate,  $k_1$  is a calculated wafer material removal rate,  $g$  is the estimate gain, and  $(k - (k_1))$  is the prediction error.

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12. The method of claim 1, wherein the steps (b) through (e) are repeated.

13. The method of claim 1, wherein the step of calculating updated pad conditioning parameters in step (d) comprises executing a recursive optimization process.

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14. The method of claim 1, wherein the maximum value for wafer material removal rate is the saturation point of the wafer material removal rate vs. conditioning down force curve.

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15. The method of claim 1, wherein the maximum value for wafer material removal rate is the initial rate.

16. The method of claim 1, wherein the minimum value for wafer material removal rate is defined by the maximum acceptable wafer polishing time.

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17. The method of claim 1, wherein the wafer material removal rate is defined by the equation

$$RemovalRate]_{min}^{max} = f(\omega_{disk}]_{min}^{max}, f]_{min}^{max}, t_{conditioning}]_{min}^{max}, direction, T_2]_{min}^{max}),$$

25 where  $\omega_{disk}$  is the angular velocity of the conditioning disk during conditioning of the polishing pad,  $t$  is the time of conditioning,  $f$  is the frequency of condition, direction is the

spinning direction of the conditioning disk, and  $T_2$  is the sweeping speed of the conditioning disk during conditioning.

18. An apparatus for conditioning polishing pads used to planarize substrates by the  
5 removal of material therefrom, comprising:

a carrier assembly having an arm positionable over a planarizing surface of a  
polishing pad;

a conditioning disk attached to the carrier assembly;

10 and an actuator capable of controlling an operating parameter of the conditioning  
disk;

a controller operatively coupled to the actuator, the controller operating the actuator  
to adjust the operating parameter of the conditioning disk as a function of a pad wear and pad  
recovery model, the model comprising:

15 determining wafer material removal rate as a function of pad conditioning parameters  
including conditioning disk rotation speed and direction.

19. The apparatus of claim 18, wherein the pad conditioning parameters of the pad  
wear and pad recovery model further comprises a conditioning parameter selected from the  
group consisting of duration of conditioning, frequency of conditioning and translational  
20 speed of conditioning disk during conditioning.

20. The apparatus of claim 18, wherein the difference is adjusted using an estimate  
gain to calculate updated pad conditioning parameters.

25 21. The apparatus of claim 18, wherein the updated pad conditioning parameters are  
updated according to the equation  $k = (k_1) + g * (k - (k_1))$ , where  $k$  is a measured wafer

material removal rate,  $k_I$  is a calculated wafer material removal rate,  $g$  is the estimate gain, and  $(k - (k_I))$  is the prediction error.

22. A method of developing a pad wear and pad conditioning model for optimization  
5 of the pad conditioning for polishing pads used to remove material from a wafer, comprising the steps of:

a) determining the relationship between at least one pad conditioning parameter and wafer material removal rate;

b) determining maximum and minimum values for each of the at least one pad  
10 conditioning parameters and the wafer material removal rate; and

c) recording the relationships and minimum and maximum values of the at least one pad conditioning parameter and the wafer removal rate.

23. The method of claim 22, wherein the at least one pad conditioning parameter  
15 comprises a plurality of parameters and the wafer removal rate is defined as a weighted function of the plurality of pad conditioning parameters.

24. The method of claim 22, wherein the at least one pad conditioning parameters  
comprises conditioning disk rotational speed.

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25. The method of claim 24 wherein the at least one pad conditioning parameter  
further comprises conditioning disk rotational direction.

26. The method of claim 22, where the at least one pad conditioning parameter  
25 comprises one or more parameters selected from the group consisting of conditioning disk

down force, conditioning disk rotational rate and direction, frequency and duration of conditioning, and conditioning disk translational speed.

27. The method of claim 22, wherein the relationship between the at least one  
5 conditioning parameter and wafer removal rate is determined by incrementally varying the conditioning parameter and measuring the resultant wafer removal rate.

28. The method of claim 22, wherein the maximum value for the conditioning  
10 parameter is the value above which no incremental increase of the wafer removal rate is observed.

29. The method of claim 22, wherein the minimum value for the conditioning  
parameter is the value which provides the minimum wafer removal rate.

30. The method of claim 22, further comprising:  
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polishing a wafer in the CMP apparatus under a first set of pad conditioning  
parameters selected to maintain wafer material removal rates within preselected minimum  
and maximum removal rates including conditioning disk rotational speed and direction;

determining a wafer material removal rate occurring during said polishing step;

20 calculating updated pad conditioning parameters based upon said determined wafer  
material removal rate and the pad wear and conditioning model to maintain wafer material  
removal rates within the maximum and minimum removal rates; and

conditioning the polishing pad using the updated pad conditioning parameters.

31. The method of claim 30, wherein the updated pad conditioning parameters are calculated by determining the difference between an output of the pad wear and conditioning model and said determined wafer material removal.

5           32. The method of claim 30, wherein the updated pad conditioning parameters are updated according to the equation  $k = (k_1) + g * (k - (k_1))$ , where  $k$  is a measured wafer material removal rate,  $k_1$  is a calculated wafer material removal rate,  $g$  is the estimate gain, and  $(k - (k_1))$  is the prediction error.

10           33. A computer readable medium comprising instructions being executed by a computer, the instructions including a computer-implemented software application for a chemical mechanical polishing process, the instructions for implementing the process comprising:

15           a) receiving data from a chemical mechanical polishing tool relating to the wafer removal rate of at least one wafer processed in the chemical mechanical polishing process; and

20           b) calculating, from the data of step (a), updated pad conditioning parameters within defined maximum and minimum values, wherein the updated pad conditioning parameters are calculated by determining the difference between an output of a pad wear and conditioning model and the data of step (a).

34. The medium of claim 33, wherein calculating updated conditioning parameters includes calculating parameters such that the parameter is within the determined minimum and maximum values.

25           35. The medium of claim 33, wherein the difference is adjusted using an estimate gain prior to calculating updated pad conditioning parameters.

36. The medium of claim 33, wherein calculating updated pad conditioning parameters in step (b) comprises executing a recursive optimization process.

5           37. The medium of claim 33, wherein the maximum value for wafer material removal rate is the saturation point of the wafer material removal rate vs. conditioning down force curve.

10           38. The medium of claim 33, wherein the maximum value for wafer material removal rate is the initial rate.

15           39. The medium of claim 33, wherein the minimum value for wafer material removal rate is defined by the minimum acceptable wafer polishing time.

20           40. A method of conditioning a planarizing surface in a chemical mechanical polishing (CMP) apparatus having a polishing pad against which a wafer is positioned for removal of material therefrom and a conditioning disk is positioned for conditioning of the polishing pad, comprising the steps of:

25           (a) developing a pad wear and pad conditioning model that defines wafer material removal rate as a function of pad conditioning parameters by:

              (i) determining the relationship between at least one pad conditioning parameter and wafer material removal rate;

              (ii) determining maximum and minimum values for each of the at least one pad conditioning parameters and the wafer material removal rate;

              25           (iii) recording the relationships and minimum and maximum values of the at least one pad conditioning parameter and the wafer removal rate;



(b) polishing a wafer in the CMP apparatus under a first set of pad conditioning parameters including conditioning disk rotational speed and direction, selected to maintain wafer material removal rates within preselected minimum and maximum removal rates;

(c) determining a wafer material removal rate occurring during said polishing step;

5 (d) calculating updated pad conditioning parameters based upon said determined wafer material removal rate of said step (b) and the pad wear and conditioning model to maintain wafer material removal rates within the maximum and minimum removal rates, and

(f) conditioning the polishing pad using the updated conditioning parameters.

10 41. A system for conditioning a planarizing surface in a chemical mechanical polishing (CMP) apparatus having a polishing pad against which a wafer is positioned for removal of material therefrom and a conditioning disk is positioned for conditioning of the polishing pad, comprising:

15 a) a pad wear and conditioning model that defines wafer material removal rate as a function of at least one pad conditioning parameters including rotation and direction of the conditioning disk;

b) polishing means for polishing a wafer in the CMP apparatus

c) measuring means for determining a wafer material removal rate; and

20 d) calculating means for updating pad conditioning parameters based upon a wafer material removal rate measured using means of step (c) and the pad wear and conditioning model to maintain wafer material removal rates within the maximum and minimum removal rates.

25 42. The system of claim 41, wherein the first set of pad conditioning parameters are determined using the results of the design of experiment (DOE).

43. The system of claim 41, wherein the pad conditioning parameters of the pad wear and pad recovery model further comprises a conditioning parameter selected from the group consisting of frequency of conditioning, duration of conditioning, and translational speed of conditioning disk during conditioning.

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44. The system of claim 41, wherein the step of calculating updated conditioning parameters includes calculating parameters such that the parameter is within the determined minimum and maximum values

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45. The system of claim 41, wherein the updated pad conditioning parameters are calculated by determining the difference between an output of the pad wear and conditioning model and the wafer material removal rate measured using the means of step (c).

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46. The system of claim 45, wherein said difference is adjusted using an estimate gain prior to calculating updated conditioning parameters.

47. The system of claim 41, wherein the step of calculating updated pad conditioning parameters in step (c) comprises executing a recursive optimization process.

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48. The system of claim 41, wherein the maximum value for wafer material removal rate is the saturation point of the wafer material removal rate vs. conditioning down force curve.

49. The system of claim 41, wherein the maximum value for wafer material removal rate is the initial rate.